

Nonmonotonic Logic and Neural Networks

Reinhard Blutner with Paul David Doherty, Berlin

1 Introduction

- ❖ Puzzle: Gap between symbolic and subsymbolic (neuron-like) modes of processing
- ❖ Aim: Overcoming the gap by viewing symbolism as a high-level description of the properties of neural networks
- ❖ Method: standard methods of model-theoretic and algebraic semantics. Neural (Re)interpretation of information states as activation states of a neuronal network.
- ❖ Main thesis: Certain activities of connectionist networks can be interpreted as *nonmonotonic inferences*. In particular, there is a strict correspondence between Hopfield networks and weight-annotated Poole systems. Extension of Balkenius & Gaerdenfors (1991).

Intended results

- 👉 Better understanding of connectionist networks:
Nonmonotonic logic and algebraic semantics as descriptive and analytic tools for analyzing their emerging properties
- 👉 New methods for performing nonmonotonic inferences:
Connectionist methods (randomised optimisation: simulated annealing) can be adopted for realizing symbolic inferences
- 👉 Certain logical systems are singled out by giving them a "deeper justification".

Overview

- 1 Introduction
- 2 A concise introduction to neural networks
- 3 Information states as neural activation patterns
- 4 Asymptotic spreading of activation and nonmonotonic inference
- 5 Weight-annotated Poole systems
- 6 The correspondence between symbolic inferences in weight-annotated Poole systems and inferences in connectionist networks (Hopfield nets)

2 A concise introduction to neural networks

General description

A neural network N can be defined as a quadruple $\langle S, F, W, G \rangle$:

- S Space of all possible states
- W Set of possible configurations. $w \in W$ describes for each pair i, j of "neurons" the connection w_{ij} between i and j
- F Set of activation functions. For a given configuration $w \in W$ a function $f_w \in F$ describes how the neuron activities spread through that network (fast dynamics)
- G Set of learning functions (slow dynamics)

Hopfield networks

Let the interval $[-1, +1]$ be the working range of each neuron

+1: maximal firing rate

0: resting

-1 : minimal firing rate

$$S = [-1, 1]^n$$

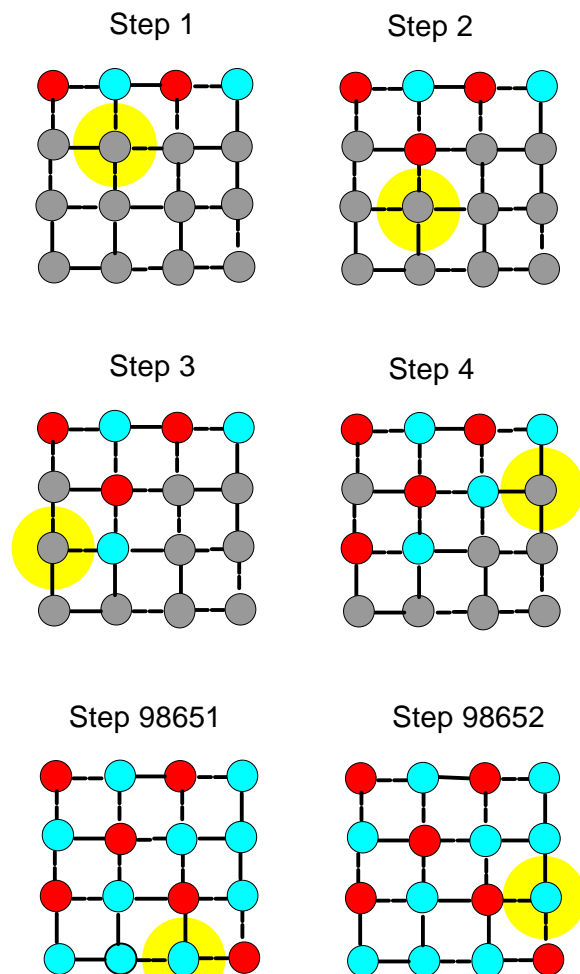
$$w_{ij} = w_{ji}, w_{ii} = 0$$

Aynchronous Updating:

$$s_i(t+1) = \Theta \left(\sum_j w_{ij} \times s_j(t) \right),$$

if $i = \text{random}(1, n)$

$$s_i(t+1) = s_i(t), \text{ otherwise}$$



3 Information states in Hopfield networks

Activation states can be partially ordered in accordance with their informational content

+1: maximal firing rate

-1: minimal firing rate

0: resting

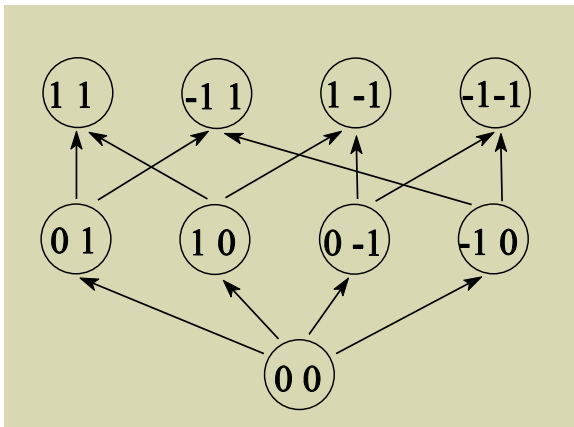
} indicating maximal
specification

} indicating underspecification

Poset of activation states:

$$S = \{-1, 0, +1\}^n$$

$s \geq t$ iff $s_i \geq t_i \geq 0$ or $s_i \leq t_i \leq 0$,
for all $1 \leq i \leq n$.



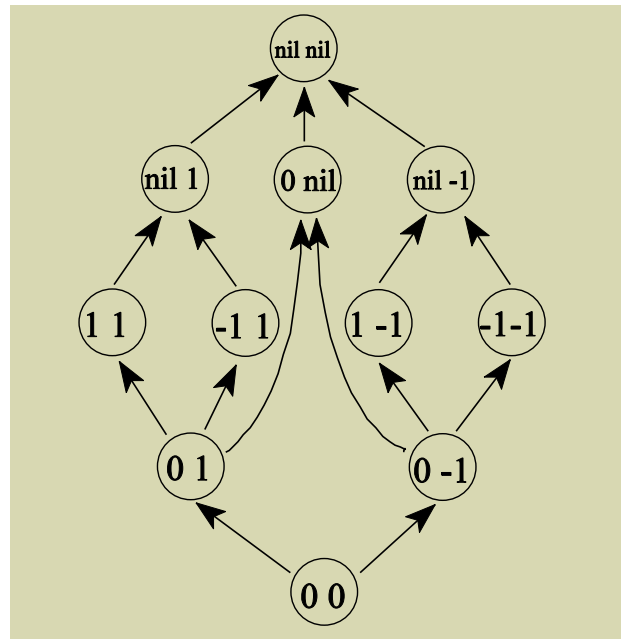
This poset doesn't form a lattice

Extended poset of activation states

$$S = \{-1, 0, +1, \text{nil}\}^n$$

nil = "impossible activation"

$s \geq t$ iff $s_i = \text{nil}$ or $s_i \geq t_i \geq 0$ or $s_i \leq t_i \leq 0$,
for all $1 \leq i \leq n$.



DeMorgan lattice

CONJUNCTION \circ : simultaneous realization of two states

DISJUNCTION \oplus : some kind of generalization.

This fact enables us to interpret activation states as propositional objects (*information states*).

4 Asymptotic updates and nonmonotonic inference

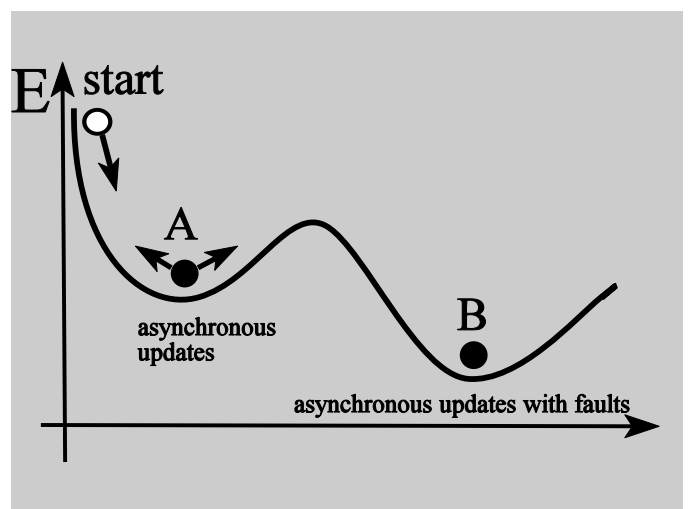
The *fast dynamics* describes how neuron activities spread through that network. Hopfield networks (and other so-called *resonance systems*) exhibit a desirable property: when given an input state s the system stabilizes in a certain state.

Fact 1 (Hopfield 1982)

The function $E(s) = -\sum_{i>j} w_{ij} \cdot s_i \cdot s_j$ is a Ljapunov-function of the system in the case of an asynchronous update function f . I.e., when the activation state of the network changes, E either decreases or remains the same. The output states $\lim_{n \rightarrow \infty} (f^n(s))$ can be characterized as *the local minima* of the Ljapunov-function.

Fact 2 (Hopfield 1982)

The output states $\lim_{n \rightarrow \infty} (f^n(s))$ can be characterized as *the global minima* of the Ljapunov-function if certain stochastic update functions f are considered ("simulated annealing").



Definition 1 (asymptotic updates)

$ASUP_w(s) =_{\text{def}} \{t: t = \lim_{n \rightarrow \infty} f^n(s)\}$ [f asynchr. updates with clamping]

Definition 2 (E-minimal specifications of s)

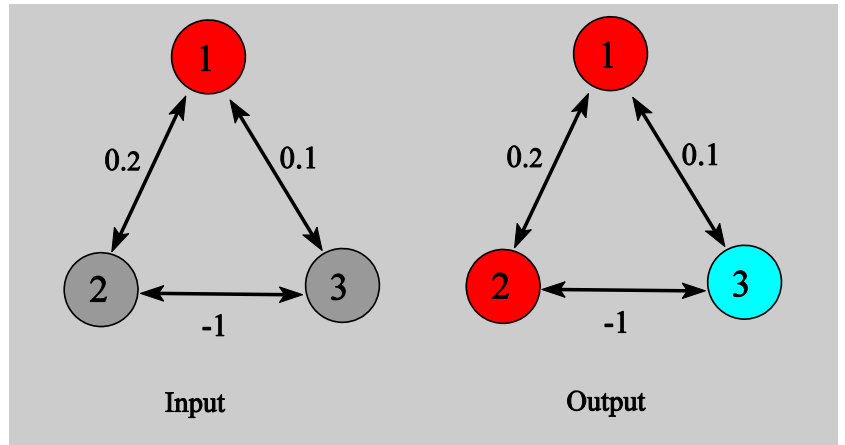
$\min_E(s) =_{\text{def}} \{t: t \geq s \text{ and there is no } t' \geq s \text{ such that } E(t') < E(t)\}$


Consequence of fact 2

$ASUP_w(s) =_{\text{def}} \min_E(s)$, where $E(s) = -\sum_{i>j} w_{ij} \cdot s_i \cdot s_j$
(energy function)

Example

$$w = \begin{pmatrix} 0 & 0.2 & 0.1 \\ 0.2 & 0 & -1 \\ 0.1 & -1 & 0 \end{pmatrix}$$



	E
$\langle 1 \ 0 \ 0 \rangle$	\leq
$\langle 1 \ 0 \ 0 \rangle$	0
$\langle 1 \ 0 \ 1 \rangle$	-0.1
$\langle 1 \ 1 \ 0 \rangle$	-0.2
$\langle 1 \ 1 \ 1 \rangle$	0.7
$\langle 1 \ 1 \ -1 \rangle$	-1.1 

$$\text{ASUP}_w(\langle 1 \ 0 \ 0 \rangle) = \min_E(s) = \langle 1 \ 1 \ -1 \rangle$$

Definition 3 (Nonmonotonic inference relation)

$s \sim_w t$ iff $s' \geq t$ for each $s' \in \text{ASUP}_w(s)$

In our example

$$\langle 1 \ 0 \ 0 \rangle \sim_w \langle 1 \ 1 \ -1 \rangle$$

$$\langle 1 \ 0 \ 0 \rangle \sim_w \langle 0 \ 1 \ 0 \rangle$$
Fact 3

- (i) if $s \geq t$, then $s \sim_w t$ (SUPRACLASSICALITY)
- (ii) $s \sim_w s$ (REFLEXIVITY)
- (iii) if $s \sim_w t$ and $s \circ t \sim_w u$, then $s \sim_w u$ (CUT)
- (iv) if $s \sim_w t$ and $s \sim_w u$, then $s \circ t \sim_w u$ (CAUTIOUS MONOTONIC.)

5 Weight-annotated Poole systems

Knowledge base in

- (a) connectionist systems:
 - connection matrix
 - energy function
- (b) symbol systems
 - strong and weak (default-) rules

At least for Hopfield systems there is a strict relationship between connectionist and symbolic knowledge bases.

- ☺ Symbolic systems can be used to understand connectionist systems.
- ☺ Connectionist systems can be used to perform inferences.

Let us consider the language L_{At} of propositional logic (referring to the alphabet At of atomic symbols)

Definition

A triple $\langle At, \Delta, g \rangle$ is called a weight-annotated Poole system iff

- (i) At is a nonempty set (of atomic symbols)
- (ii) Δ is a set of consistent sentences built on the basis of At (the possible hypotheses)
- (iii) $g: \Delta \rightarrow [0,1]$ (the weight function)

Definition

Let $T = \langle At, \Delta, g \rangle$ be a weight-annotated Poole system, and let α be a consistent formula.

(A) A *scenario of α in T* is a subset Δ' of Δ such that $\Delta' \cup \{\alpha\}$ is consistent.

(B) The *weight of a scenario Δ'* is

$$G(\Delta') = \sum_{\delta \in \Delta'} g(\delta) - \sum_{\delta \in (\Delta - \Delta')} g(\delta)$$

(C) A *maximal scenario of α in T* is a scenario the weight of which is not exceeded by any other scenario (of α in T).


Definition

$\alpha \succ_{-T} \beta$ iff β is an ordinary conseq. of each maximal scenario of α in T .

An elementary example

$$At = \{p_1, p_2, p_3\}$$

$$\Delta = \{p_1 \leftrightarrow_{0.2} p_2, p_1 \leftrightarrow_{0.1} p_3, p_2 \leftrightarrow_{1.0} \sim p_3\}$$

some (relevant) scenarios of p_1 :	G
$\{\}$	-1.3
$\{p_1 \leftrightarrow p_2\}$	-0.9
$\{p_1 \leftrightarrow p_2, p_1 \leftrightarrow p_3\}$	-0.7
$\{p_1 \leftrightarrow p_2, p_2 \leftrightarrow \sim p_3\}$	1.1 
$\{p_1 \leftrightarrow p_3, p_2 \leftrightarrow \sim p_3\}$	0.9

Consequently, $p_1 \succ_{-T} p_2, p_1 \succ_{-T} \neg p_3$

The semantics of weight-annotated Poole systems

Let $T = \langle At, \Delta, g \rangle$ be a weight-annotated Poole system, with $At = \{p_1, \dots, p_n\}$. Furthermore, let v denote a (total) interpretation function for the propositional language L_{At} ($v: At \mapsto \{-1, 1\}$). The usual clauses apply for the evaluation of the formulas of L_{At} relative to v :

$$\llbracket \alpha \wedge \beta \rrbracket_v = \min(\llbracket \alpha \rrbracket_v, \llbracket \beta \rrbracket_v)$$

$$\llbracket \alpha \vee \beta \rrbracket_v = \max(\llbracket \alpha \rrbracket_v, \llbracket \beta \rrbracket_v)$$

$$\llbracket \sim \alpha \rrbracket_v = -\llbracket \alpha \rrbracket_v.$$

The following defines a function which indicates how strong a given interpretation v conflicts with the space of hypotheses Δ :

Definition

$$\mathcal{E}(v) = -\sum_{\delta \in \Delta} g(\delta) \cdot \llbracket \delta \rrbracket_v \quad (\text{the energy of the interpretation})$$

Next, the notions of *model* and *preferred model* can be defined:

Definition

- (A) An interpretation v is called a *model* of α just in case $\llbracket \alpha \rrbracket_v = 1$.
- (B) An interpretation v is called a *preferred model* of α just in case it is a model of α with minimal energy (w.r.t. the other models of α).

The following notion is the semantic counterpart to the syntactic consequence relation $\alpha \supset_{-T} \beta$:

Definition

$\alpha \supset_{=T} \beta$ iff each preferred model of α is a model of β .

Theorem

For all formulas α and β of L_{At} : $\alpha \supset_{-T} \beta$ iff $\alpha \supset_{=T} \beta$.

6 Integrating Poole systems and Hopfield networks

Bringing about the correspondence between connectionist and symbolic knowledge bases, we have first to look for a symbolic representation of information states.

Symbolic representation of information states

Let $\langle S_{U\perp}, \geq \rangle$ be the extended poset of activation states for a neural network with n elements.

Definition

The triple $\langle S_{U\perp}, \geq, \downarrow \rangle$ is called a *Hopfield model* (for L_{At}) iff \downarrow is a function assigning some element of $S_{U\perp}$ to each atomic symbol and obtaining the following conditions:

$$\downarrow(\alpha \wedge \beta) = \downarrow(\alpha) \circ \downarrow(\beta), \quad \downarrow(\sim \alpha) = -\downarrow(\alpha).$$

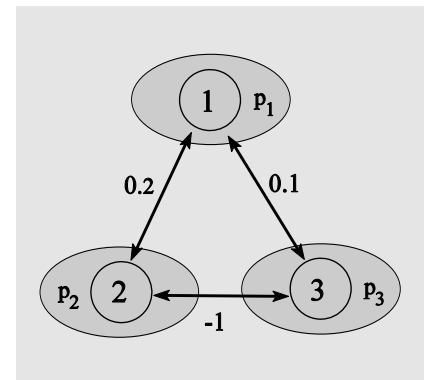
A Hopfield model is called *local* (for L_{At}) iff it realizes the following assignments:

$$\downarrow(p_1) = \langle 1 \ 0 \ \dots \ 0 \rangle$$

$$\downarrow(p_2) = \langle 0 \ 1 \ \dots \ 0 \rangle$$

...

$$\downarrow(p_n) = \langle 0 \ 0 \ \dots \ 1 \rangle$$



With regard to local Hopfield models each state can be represented by a conjunction of literals (atoms or their inner negation);

$$\text{e.g. } \langle 1 \ 1 \ 0 \rangle = \downarrow(p_1 \wedge p_2), \quad \langle 1 \ 1 \ -1 \rangle = \downarrow(p_1 \wedge p_2 \wedge \sim p_3).$$

Translating Hopfield networks into weight-annotated Poole systems

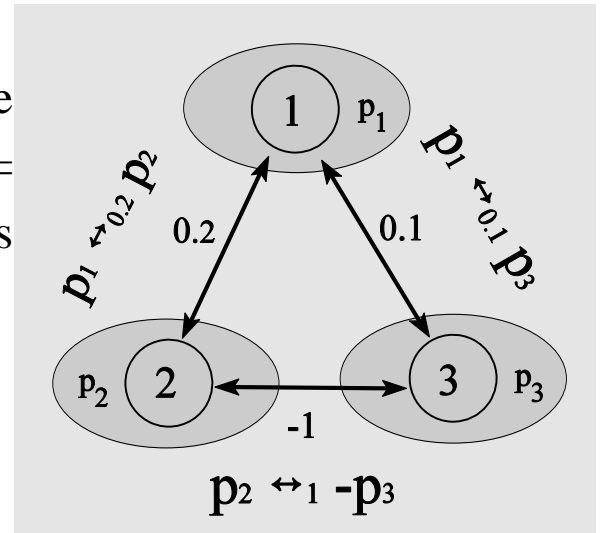
Consider a Hopfield system (n neurons) with connection matrix w , and let $At = \{p_1, \dots, p_n\}$ be a set of atomic symbols. Take the following formulae of L_{At} :

$$\alpha_{ij} = (p_i \leftrightarrow \text{sign}(w_{ij}) p_j), \text{ for } 1 \leq i < j \leq n$$

Definition

For each connection matrix w the associated Poole system is defined as $T_w = \langle At, \Delta_w, g_w \rangle$ where the following clauses apply:

- (i) $\Delta_w = \{ \alpha_{ij} : 1 \leq i < j \leq n \}$
- (ii) $g_w(\alpha_{ij}) = |w_{ij}|$



Under certain conditions (no isolated nodes) it can be shown that each (partial) information state is completed asymptotically. Consequently, $ASUP_w(s)$ contains only total information states. This fact allows us to prove the following theorem:

Theorem

Assume that the formulae α and β are conjunctions of literals. Assume further that the Poole system T is associated to the connection matrix w . Then

$$1 \alpha \downarrow \sim_w 1 \beta \downarrow \text{ iff } \alpha \supset_{-T} \beta$$

7 Conclusions

- ☺ Weight-annotated Poole systems can be used to understand connectionist systems. Nonmonotonic inferences ($\alpha \triangleright_{-T} \beta$) as an analytic tool to understand emerging properties of connectionist networks.
- ☺ Weight-annotated Poole systems are singled out by giving them a "deeper justification".
- ☺ Connectionist systems can be used to perform nonmonotonic inferences. Efficiency?

Appendix: An example from phonology

-back	+back	
/i/	/u/	+high
/e/	/o/	-high/-low
/æ/	/ɔ/	+low
	/a/	

The phonological features may be represented as by the atomic symbols BACK, LOW, HIGH, ROUND. The generic knowledge of the phonological agent concerning this fragment may be represented as a Hopfield network using exponential weights with basis $0 < \varepsilon \leq 0.5$. Furthermore, make use of the following **Strong Constraints**:

LOW \rightarrow \sim HIGH;

ROUND \rightarrow BACK

VOC		/a/	/i/	/o/	/u/	/ɔ/	/e/	/æ/
BACK	ε^1	+	-	+	+	+	-	-
LOW	ε^2	+	-	-	-	+	-	+
HIGH	$-\varepsilon^4$	-	+	-	+	-	-	-
ROUND	$-\varepsilon^3$	-	-	+	+	+	-	-

Assigned Poole-system

VOC $\leftrightarrow_{\varepsilon^1}$ BACK; BACK $\leftrightarrow_{\varepsilon^2}$ LOW
LOW $\leftrightarrow_{\varepsilon^4} \sim$ ROUND; BACK $\leftrightarrow_{\varepsilon^3} \sim$ HIGH

(These default rules are in strict correspondence to Keane's markedness conventions)